



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
M. Katter, et al. ) GROUP ART UNIT: unknown  
Serial No.: 10/088,969 ) EXAMINER: unknown  
Filed: March 25, 2002 )  
For: BORON-LOW ND-FE-B ALLOY )  
AND METHOD FOR PRODU- )  
CING PERMANENT MAGNETS )  
ON THE BASIS OF SAID )  
ALLOY )

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August 6, 2002  
Attorney Docket No. 47192/270460

MAILED 11 AUGUST 6 2002  
AUGUST 6, 2002  
Dun 11, Katter  
Signature

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98,  
Applicants identify the materials listed below and on the  
modified form PTO-1449 accompanying this submission:

U.S. PATENTS

<u>Number</u>	<u>Inventors</u>	<u>Date</u>
6,338,761	Kanekiyo, et al.	January 15, 2002
6,080,245	Uchida, et al.	June 27, 2000
6,027,576	Schrey, et al.	February 22, 2000
5,997,804	Uchida, et al.	December 7, 1999
5,858,123	Uchida, et al.	January 12, 1999
5,589,009	Kim, et al.	December 31, 1996
5,472,525	Tokunaga, et al.	December 5, 1995
5,194,099	Esper, et al.	March 16, 1997

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PTC 1130

NON-U.S. PATENT DOCUMENTS

<u>Number</u>	<u>Country/Region</u>	<u>Date</u>
0657899	Europe (EPO)	June 14, 1995
19541948	Germany	May 15, 1997
19636285	Germany	July 16, 1998
3740157	Germany	June 8, 1989

Copies of the listed documents, together with an English-language Abstract of the German Patent Document No. 19541948, are enclosed. Applicants believe U.S. Patent Nos. 5,194,099 and 6,027,576 contain subject matter corresponding to that of German Patent Document Nos. 3740157 and 19636285, respectively. Applicants do not concede that the identified materials, or any of them, constitute prior art within the meaning of the United States patent laws.

Applicants are submitting this paper before receipt of an Office Action concerning the merits of the invention claimed in the application and accordingly believe no fee is due. See 37 C.F.R. § 1.97(b). However, if Applicants' belief is mistaken, the Assistant Commissioner is authorized to debit deposit account No. 11-0855 for any such fee presently due.

Respectfully submitted,

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

47192-270460

SERIAL NO.

10 088,969

MATERIAL INFORMATION STATEMENT  
(Use several sheets if necessary)

APPLICANT

M. Katter, et al.

FILING DATE

March 25, 2002

GROUP

Unknown

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,338,761	1/15/02	Kanekiyo, et al.			
	6,080,245	6/27/00	Uchida, et al.			
	6,027,576	2/22/00	Schrey, et al.			
	5,997,804	12/7/99	Uchida, et al.			
	5,858,123	1/12/99	Uchida, et al.			
	5,589,009	12/31/96	Kim, et al.			
	5,472,525	12/5/95	Tokunaga, et al.			
	5,194,099	3/16/93	Esper, et al.			

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	0657899	6/14/95	Europe (EPO)			
	19541948	5/15/97	Germany			
	19636285	7/16/98	Germany			
	3740157	6/8/98	Germany			

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.